Eighteenth Amendment Ordinance

to the Fee Regulations for the Frankfurter Wertpapierbörse

Article 1 ***Amendment to the Fee Regulations for the Frankfurter Wertpapierbörse in the version dated October 30, 2007, last amended by the Amendment Ordinance dated July 2, 2025***

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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED;

DELETIONS ARE CROSSED OUT

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[…]

I. Section: Levying of Fees; General Provisions

§ 1 Levying of Fees

(1) Fees are levied for

1. the admission to participation in exchange trading and the participation in exchange trading,
2. (cancelled)
3. the admission of Securities, other economic assets and rights to exchange trading, the inclusion of Securities in exchange trading on the Regulated Market and the revocation of such admission or inclusion,
4. ~~the Introduction of Securities on the Exchange,~~
4~~5~~. the listing (trading) of Securities of no specific maturity,
5~~6~~. the participation in the Exchange Trader Examination.

[…]

§ 4 Parties Obligated to Pay Fees

[…]

(2) In the case of the fees pursuant to § 1 Paragraph 1 Numbers 3 to 5~~6~~ and expenses within the meaning of § 1 Paragraph 2, the applicant respectively the issuer (§ 1 Paragraph 1 Number 4~~5~~) is liable for payment. In case of an application filed by more than one applicant, applicants are jointly and severally liable for payment of fees and expenses.

[…]

IV. Section: (cancelled) ~~Fees for the Introduction of Securities on the Stock Exchange ("Introduction Fee")~~

§ 14 (cancelled)~~Introduction Fee~~

~~(1) A fee as in Table IX shall be levied for the introduction of trading ("**Introduction**") of Securities, for whose Admission Fees are levied according to § 11 Paragraph 1 Sentence 1. A fee as in Table IX shall be levied for the Introduction of Securities, for whose Admission Fees are levied according to § 11 Paragraph 1 Sentence 2. A fee as in Table IX shall be levied for the Introduction of shares and certificates representing shares which are issued due to a Capital increase from company funds and which are legally admitted.~~

~~(2) A fee as in Table IX shall be levied for the Introduction of Securities to the Regulated Market which are not covered by Paragraph 1 or to a segment of the Regulated Market with additional obligations.~~

~~(3) With regard to the Introduction of Certificates, reverse convertibles and warrants, fees are levied as listed below:~~

~~1. The fee pursuant to Table IX may, for up to 5,000 Introductions per calendar year, not exceed EUR 15,000 per issuer and calendar year. For Introductions exceeding such number, a fee as in Table IX shall be levied until the total amount of EUR 20,000 per issuer and calendar year is reached.~~

~~2. If, with regard to more than half of all Introduction applications submitted per calendar year, Introduction is initiated as a result of Introduction applications submitted via the electronic application tool "E-Listing Structured Products", the fee pursuant to Table IX may, for up to 5,000 Introductions per calendar year, not exceed EUR 14,000 per issuer and calendar year. With regard to admissions in excess of such number, the fee pursuant to Table IX will be levied up to a total sum of EUR 19,000 per issuer and calendar year.~~

~~3. With regard to each Introduction based on an Introduction application submitted by ways other than the electronic application tool "E-Listing Structured Products", the fee pursuant to Table IX increases by EUR 0.10, however, without such increase being offset against the maximum upper fee limit pursuant to Number 1 and 2.~~

[…]

Table IX: (cancelled)~~Introduction Fee pursuant to § 14~~

~~Paragraph~~	~~Type of Security / Class of Security~~	~~Market Segment~~	~~Fee in Euro~~
~~§ 14 Paragraph 1 Sentence 1~~	~~Shares~~ ~~Certificates representing shares~~	~~Regulated Market~~	~~10,207.-~~
~~§ 14 Paragraph 1 Sentence 2, Sentence 3~~	~~Shares~~ ~~Certificates representing shares~~	~~Regulated Market~~	~~1,003.-~~
~~§ 14 Paragraph 2~~	~~Shares~~ ~~Certificates representing shares~~	~~Segment of the Regulated Market with further admission obligations~~	~~0.-~~
~~§ 14 Paragraph 3~~	~~Warrants~~ ~~Certificates~~ ~~Reverse Convertibles~~	~~Regulated Market~~	~~100.-~~
~~§ 14 Paragraph 2~~	~~Debt securities~~	~~Regulated Market~~	~~500.-~~
~~§ 14 Paragraph 2~~	~~Participation certificates~~	~~Regulated Market~~	~~2,500.-~~
~~§ 14 Paragraph 2~~	~~Investment units in domestic and foreign investment stock corporations (*Investmentaktiengesellschaften*) and investment asset pools (*Investmentvermögen*)~~	~~Regulated Market~~	~~500.-~~

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Article 2 Effectiveness

The amendments pursuant to Article 1 shall become effective on April 9, 2026.